# **Quasi-optimality of BDDC Methods for MITC Reissner-Mindlin Problems**

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# **1** Introduction

The goal of this paper is to improve a condition number bound proven in [5] for a 14 Balancing Domain Decomposition Method by Constraints (BDDC) for the Reissner-Mindlin plate bending problem discretized with MITC elements. This BDDC preconditioner is based on selecting the plate rotations and deflection degrees of freedom 17 at the subdomain vertices as primal continuity constraints. In [5], we proved that the resulting BDDC algorithm is scalable in the number of subdomains *N* and independent of the plate thickness *t* and that the condition number  $\kappa$  of the preconditioned Reissner-Mindlin plate problem is bounded by 21

$$\kappa \leq C(H/h),$$
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with *C* a constant independent of the plate thickness *t*, the mesh size *h* and the sub-  $^{23}$  domain size *H*. In the present contribution, we prove the improved quasi-optimal  $^{24}$  result  $^{25}$ 

$$\kappa \le C(1 + \log^3{(H/h)}).$$

We remark that the MITC discretization of Reissner-Mindlin problems can lead to 27 very ill-conditioned discrete system, with condition number 28

$$\kappa_{no} \sim Ch^{-2}t^{-2}$$
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Introduced in [11] and analyzed in [17, 21, 22], BDDC methods have evolved from 30 previous domain decomposition work on Balancing Neumann-Neumann methods. 31 BDDC algorithm have been extended in recent years from scalar elliptic problems 32 to almost incompressible elasticity [12, 24], the Stokes system [18], flow in porous 33

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media [28], and spectral element discretizations [15, 23, 24]. BDDC and overlapping <sup>34</sup> Schwarz methods for Reissner-Mindlin plate problems discretized with Falk-Tu elements have been studied in the recent Ph.D. thesis [16], while multigrid method for <sup>36</sup> plates have been studied in [26]. Among the several finite element works for plates, <sup>37</sup> we mention [2, 3, 7–10, 13, 14, 19, 20, 27]. <sup>38</sup>

## 2 The MITC Reissner-Mindlin Plate Bending Problem

**Continuous problem.** Let  $\Omega$  be a polygonal domain in  $\mathbb{R}^2$  representing the midsurface of the plate, for simplicity assumed to be clamped on the whole boundary  $\partial \Omega$ . 41 The Reissner-Mindlin plate bending problem (see [1, 7]) reads

$$\begin{cases} \text{Find } \boldsymbol{\theta}^{ex} \in [H_0^1(\Omega)]^2, u^{ex} \in H_0^1(\Omega) \text{ such that} \\ a(\boldsymbol{\theta}^{ex}, \boldsymbol{\eta}) + \mu k t^{-2} (\boldsymbol{\theta}^{ex} - \nabla u^{ex}, \boldsymbol{\eta} - \nabla v) = (f, v) \quad \forall \boldsymbol{\eta} \in [H_0^1(\Omega)]^2, v \in H_0^1(\Omega) , \end{cases}$$
(1)

with  $\mu$  the shear modulus, k is the shear correction factor, t the plate thickness,  $u^{ex}$  43 the deflection,  $\boldsymbol{\theta}^{ex}$  the rotation of the normal fibers and f the applied scaled normal 44 load. Moreover,  $(\cdot, \cdot)$  stands for the standard scalar product in  $L^2(\Omega)$  and  $a(\cdot, \cdot)$  is the 45 bilinear form 46

$$a(\boldsymbol{\theta}^{ex}, \boldsymbol{\eta}) = (\mathbb{C}\varepsilon(\boldsymbol{\theta}^{ex}), \varepsilon(\boldsymbol{\eta})), \qquad 47$$

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with  $\mathbb{C}$  the positive definite tensor of bending moduli and  $\varepsilon(\cdot)$  the symmetric gradient <sup>48</sup> operator. Introducing the scaled shear stresses  $\gamma^{ex} = \mu k t^{-2} (\boldsymbol{\theta}^{ex} - \nabla u^{ex})$ , problem (1) <sup>49</sup> can be written in terms of the following mixed variational formulation, where for <sup>50</sup> simplicity we have assumed  $\mu k = 1$ : <sup>51</sup>

$$\begin{cases} \text{Find } \boldsymbol{\theta}^{ex} \in [H_0^1(\Omega)]^2, u^{ex} \in H_0^1(\Omega), \boldsymbol{\gamma}^{ex} \in [L^2(\Omega)]^2 \text{ such that} \\ a(\boldsymbol{\theta}^{ex}, \boldsymbol{\eta}) + (\boldsymbol{\gamma}^{ex}, \boldsymbol{\eta} - \nabla v) = (f, v) \quad \forall \boldsymbol{\eta} \in [H_0^1(\Omega)]^2, v \in H_0^1(\Omega) \\ (\boldsymbol{\theta}^{ex} - \nabla u^{ex}, \boldsymbol{s}) - t^2(\boldsymbol{\gamma}, \boldsymbol{s}) = 0 \quad \forall \boldsymbol{s} \in [L^2(\Omega)]^2 . \end{cases}$$
(2)

**Discrete problem.** We discretize the plate problem by MITC (Mixed Interpolation 52 of Tensorial Components) elements; see e.g. [1, 7, 8] for more details on this family 53 of elements. Let  $\tau_h$  denote a triangular or quadrilateral conforming finite element 54 mesh on  $\Omega$ , of characteristic mesh size *h*. Let  $\Theta$ , *U* and  $\Gamma$  be the discrete spaces for 55 rotations, deflections and shear stresses, respectively and define  $\mathbf{X} = \boldsymbol{\Theta} \times U$ . Then the 56 Reissner-Mindlin plate bending problem (2) discretized with MITC elements reads 57

$$\begin{cases} \text{Find } (\boldsymbol{\theta}, u) \in \mathbf{X}, \ \boldsymbol{\gamma} \in \boldsymbol{\Gamma} \text{ such that} \\ a(\boldsymbol{\theta}, \boldsymbol{\eta}) + (\boldsymbol{\gamma}, \Pi \ \boldsymbol{\eta} - \nabla v) = (f, v) \quad \forall (\boldsymbol{\eta}, v) \in \mathbf{X} \\ (\Pi \ \boldsymbol{\theta} - \nabla u, \boldsymbol{s}) - t^2(\boldsymbol{\gamma}, \boldsymbol{s}) = 0 \quad \forall \boldsymbol{s} \in \boldsymbol{\Gamma} , \end{cases}$$
(3)

where  $\Pi : ([H^1(\Omega)]^2 + \Gamma) \longrightarrow \Gamma$  is the MITC reduction operator. Using the second 58 equation of (3), shear stresses can be eliminated to obtain the following positive 59 definite discrete formulation: 60

$$\begin{cases} \text{Find} (\boldsymbol{\theta}, u) \in \mathbf{X} \text{ such that} \\ b((\boldsymbol{\theta}, u), (\boldsymbol{\eta}, v)) = (f, v) \quad \forall (\boldsymbol{\eta}, v) \in \mathbf{X} , \end{cases}$$
(4)

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where we have defined  $b((\boldsymbol{\theta}, u), (\boldsymbol{\eta}, v)) := a(\boldsymbol{\theta}, \boldsymbol{\eta}) + t^{-2}(\Pi \boldsymbol{\theta} - \nabla u, \Pi \boldsymbol{\eta} - \nabla v)$ . In 61 this paper, we address directly the positive definite problem (4), in the spirit of [4, 5], 62 instead of the mixed formulation (3). For the convergence analysis of the MITC 63 elements, see e.g. [3, 8, 13, 25]. The MITC elements perform optimally with respect 64 to the polynomial degree and regularity of the solution, and their rate of convergence 65 is independent of the thickness parameter *t*. 66

# 3 Iterative Substructuring and BDDC Preconditioning

**Subspace decomposition and Schur complement**. We decompose the domain  $\Omega$  68 into *N* open, nonoverlapping subdomains  $\Omega_i$  of characteristic size *H* forming a 69 shape-regular finite element mesh  $\tau_H$ . This coarse triangulation  $\tau_H$  is further refined 70 into a finer triangulation  $\tau_h$  of characteristic size *h*; both meshes will typically be 71 composed of triangles or quadrilaterals. In the sequel, we assume that the material 72 tensor  $\mathbb{C}$  is constant on the whole domain. 73

As it is standard in iterative substructuring methods, we first reduce the problem 74 to the interface  $\Gamma = \left(\bigcup_{i=1}^{N} \partial \Omega_i\right) \setminus \partial \Omega$ , by implicitly eliminating the interior degrees 75 of freedom. In variational form, this process consists in a suitable decomposition of 76 the discrete space  $\mathbf{X} = \boldsymbol{\Theta} \times U$ . More precisely, let us define  $\boldsymbol{W} = \mathbf{X}_{|\Gamma}$ , i.e. the space 77 of the traces of functions in  $\mathbf{X}$ , as well as the local spaces  $\mathbf{X}_i = \mathbf{X} \cap [H_0^1(\Omega_i)]^3$ . The 78 space  $\mathbf{X}$  can be decomposed as  $\mathbf{X} = \bigoplus_{i=1}^{N} \mathbf{X}_i \oplus \overline{\mathcal{H}}(\mathbf{W})$ . Here  $\overline{\mathcal{H}} : \mathbf{W} \longrightarrow \mathbf{X}$  is the 79 discrete "plate-harmonic" extension operator defined by solving the problem 80

Find 
$$\overline{\mathscr{H}}(\boldsymbol{w}_{\Gamma}) \in \mathbf{X}$$
 such that  $\overline{\mathscr{H}}(\boldsymbol{w}_{\Gamma})|_{\Gamma} = \boldsymbol{w}_{\Gamma}$  and  
 $b(\overline{\mathscr{H}}(\boldsymbol{w}_{\Gamma}), \boldsymbol{v}_{I}) = 0 \qquad \forall \boldsymbol{v}_{I} \in \mathbf{X}_{i} \quad i = 1, 2, \dots, N.$ 

Defining the Schur complement bilinear form  $s(\boldsymbol{w}_{\Gamma}, \boldsymbol{v}_{\Gamma}) = b(\overline{\mathscr{H}}(\boldsymbol{w}_{\Gamma}), \overline{\mathscr{H}}(\boldsymbol{v}_{\Gamma}))$ , the s1 Schur complement system reads  $s(\boldsymbol{u}_{\Gamma}, \boldsymbol{v}_{\Gamma}) = \langle \tilde{\boldsymbol{f}}, \boldsymbol{v}_{\Gamma} \rangle \quad \forall \boldsymbol{v}_{\Gamma} \in \boldsymbol{W}$ , for a suitable s2 right-hand side  $\tilde{\boldsymbol{f}}$ .

**The BDDC Reissner-Mindlin plate preconditioner**. BDDC preconditioners, introduced in [11] and analyzed in [21], can be regarded as an evolution of Balancing 85 Neumann-Neumann preconditioners for the Schur complement system. In this section, we briefly recall the BDDC preconditioner of [5]. 87

Define  $\Gamma_i := \partial \Omega_i$ , and  $\Gamma_{ij} = \partial \Omega_i \cap \partial \Omega_j$ ,  $i, j \in \{1, 2, ..., N\}$ , the common edge 88 between two adjacent subdomains  $\Omega_i$  and  $\Omega_j$ . The local spaces  $\overline{\mathbf{W}}_i$  are the spaces 89 of discrete functions defined by  $\overline{\mathbf{W}}_i = \mathbf{W}_{|\Gamma_i}$ , i = 1, 2, ..., N. Let  $\overline{\mathscr{H}}_i : \overline{\mathbf{W}}_i \longrightarrow \mathbf{X}|_{\Omega_i}$ , 90 i = 1, 2, ..., N, represent the restriction of the operator  $\overline{\mathscr{H}}$  to the subdomain  $\Omega_i$  91

$$\begin{cases} \text{Find } \overline{\mathscr{H}}_i(\mathbf{w}_i) \in \mathbf{X}|_{\Omega_i} \text{ such that } \overline{\mathscr{H}}(\mathbf{w}_i)|_{\Gamma_i} = \mathbf{w}_i \text{ and} \\ b_i(\overline{\mathscr{H}}_i(\mathbf{w}_i), \mathbf{v}_i) = 0 \qquad \forall \mathbf{v}_i \in \mathbf{X}_i, \end{cases}$$

where the  $b_i(\cdot, \cdot)$  are given by restricting the integrals in  $b(\cdot, \cdot)$  to the domain  $\Omega_i$ , 92 i = 1, 2, ..., N. The local bilinear forms are  $s_i(\mathbf{w}_i, \mathbf{v}_i) = b_i(\overline{\mathscr{H}}_i \mathbf{w}_i, \overline{\mathscr{H}}_i \mathbf{v}_i), \forall \mathbf{w}_i, \mathbf{v}_i \in 93$   $\overline{\mathbf{W}}_i$ . Let  $R_i^T$ , i = 1, 2, ..., N be the prolongation operators which extend any function 94 of  $\overline{\mathbf{W}}_i$  to the function of  $\mathbf{W}$  which is zero at all the nodes not on  $\Gamma_i$ . Note that for 95  $\mathbf{w}, \mathbf{v} \in \mathbf{W}, \sum_{i=1}^N s_i(R_i \mathbf{w}, R_i \mathbf{v}) = s(\mathbf{w}, \mathbf{v})$ . For  $x \in \Gamma$ , we also define the weight  $N_x = 96$   $\#\{j \in \mathbb{N} | x \in \partial \Omega_j\}$  and the weighted counting operators  $\delta_i : \overline{\mathbf{W}}_i \longrightarrow \overline{\mathbf{W}}_i$  (and their 97 inverses  $\delta_i^{\dagger}$ ) by 98

$$\delta_i \boldsymbol{v}_i(x) = N_x \boldsymbol{v}_i(x), \qquad \delta_i^{\dagger} \boldsymbol{v}_i(x) = N_x^{-1} \boldsymbol{v}_i(x), \quad \forall x \text{ node of } \boldsymbol{\Gamma}_i \cap \boldsymbol{\Gamma}.$$

Let  $C_i : \overline{\mathbf{W}}_i \to \mathbb{R}^{3cc_i}$  be local constraint operators that read function values at the 100 corners of the subdomain  $\Omega_i$ , with  $cc_i$  the number of corners of the subdomain. Then 101 we define the local constrained spaces 102

$$\boldsymbol{W}_i = \{ \boldsymbol{w}_i \in \overline{\boldsymbol{W}}_i \, | \, C_i \boldsymbol{w}_i = \boldsymbol{0} \},$$
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and a global coarse space  $W_0 \subset W$  associated with the function values at the subdomain vertices. Given the number *m* of such subdomain vertices, let  $w_c \in \mathbb{R}^{3m}$  be a 105 vector representing the respective nodal values. Then the space  $W_0$  is defined by 106

$$\boldsymbol{W}_{0} = \{\sum_{i=1}^{N} \boldsymbol{R}_{i}^{T} \boldsymbol{\delta}_{i}^{\dagger} \boldsymbol{w}_{0,i} | C_{i} \boldsymbol{w}_{0,i} = \boldsymbol{R}_{i}^{C} \boldsymbol{w}_{c}, \boldsymbol{w}_{c} \in \mathbb{R}^{3m}, s_{i}(\boldsymbol{w}_{0,i}, \boldsymbol{w}_{0,i}) \to \min\},\$$

with  $R_i^C$  the operator extracting the vertex values for the subdomain  $\Omega_i$  from the 107 global vector  $w_c$  of all the subdomain vertex values. Any element  $\boldsymbol{w} \in \boldsymbol{W}$  can be 108 uniquely decomposed as  $\boldsymbol{w} = \boldsymbol{w}_0 + \sum_{i=1}^N \boldsymbol{w}_i$ , with  $\boldsymbol{w}_0 \in \boldsymbol{W}_0$ ,  $\boldsymbol{w}_i \in \boldsymbol{W}_i$  for i = 1, ..., N. 109 We use inexact bilinear forms defined by 110

$$\begin{split} \tilde{s}_i(\boldsymbol{w}_i, \boldsymbol{v}_i) &= s_i(\delta_i \boldsymbol{w}_i, \delta_i \boldsymbol{v}_i) \qquad \forall \boldsymbol{w}_i, \boldsymbol{v}_i \in \boldsymbol{W}_i, \ i = 1, 2, \dots, N, \\ \tilde{s}_0(\boldsymbol{w}_0, \boldsymbol{v}_0) &= \sum_{i=1}^N s_i(\boldsymbol{w}_{0,i}, \boldsymbol{v}_{0,i}) \qquad \forall \boldsymbol{w}_0, \boldsymbol{v}_0 \in \boldsymbol{W}_0. \end{split}$$

Finally, we define the coarse operator  $P_0: \mathbf{W} \longrightarrow \mathbf{W}_0$  by

$$\tilde{s}_0(P_0\boldsymbol{u},\boldsymbol{v}_0) = s(\boldsymbol{u},\boldsymbol{v}_0) \quad \forall \, \boldsymbol{v}_0 \in \boldsymbol{W}_0,$$

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and the local operators  $P_i = R_i^T \tilde{P}_i : \boldsymbol{W} \longrightarrow R_i^T \boldsymbol{W}_i$  by

$$\tilde{s}_i(\tilde{P}_i \boldsymbol{u}, \boldsymbol{v}_i) = s(\boldsymbol{u}, R_i^T \boldsymbol{v}_i) \ \forall \boldsymbol{v}_i \in \boldsymbol{W}_i.$$
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Then, our BDDC method is defined by the preconditioned operator

$$P = \sum_{i=0}^{N} P_i . \tag{5}$$

The matrix form of *P* and the associated preconditioner can be found in [5].

### 4 A Quasi-optimal BDDC Convergence Bound

We start by recalling the following assumption from [5], using the same notations. 118

**Assumption 1** Given any  $\Gamma_i$ , i = 1, 2, ..., N, let  $\mathcal{E}_i$  represent the set of the edges of 119  $\Gamma_i$ . Then, we assume that there exist two positive constants  $k_*, k^*$  and a boundary 120 seminorm  $|\cdot|_{\tau(\Gamma_i)}$  on  $\overline{\mathbf{W}}_i$ , i = 1, 2, ..., N, such that

$$|\mathbf{w}_i|_{\tau(\Gamma_i)}^2 \le k^* s_i(\mathbf{w}_i, \mathbf{w}_i) \quad \forall \mathbf{w}_i \in \overline{\mathbf{W}}_i, \tag{6}$$

$$|\mathbf{w}_i|^2_{\tau(\Gamma_i)} \ge k_* s_i(\mathbf{w}_i, \mathbf{w}_i) \quad \forall \mathbf{w}_i \in \mathbf{W}_i,$$
(7)

$$|\boldsymbol{w}_i|_{\tau(\Gamma_i)}^2 = \sum_{e \in \mathscr{E}_i} |\boldsymbol{w}_i|_{\tau(e)}^2 \quad \forall \boldsymbol{w}_i \in \overline{\boldsymbol{W}}_i,$$
(8)

where  $|\cdot|_{\tau(e)}$  is a given seminorm on the edge e.

We notice that we cannot adopt the obvious choice  $|\mathbf{w}_i|_{\tau(\Gamma_i)} = s_i(\mathbf{w}_i, \mathbf{w}_i)$ , since it 123 can be shown that it does not satisfy (8), not even with a bound including a uniform 124 constant. We have the following main result.

**Theorem 2.** If Assumption 1 holds, then the condition number  $\kappa$  of the Reissner-Mindlin BDDC preconditioned operator P in (5) satisfies the bound 127

$$\kappa(P) \le C\left(1 + \log^3\left(H/h\right)\right),$$
128

with the constant C depending only on the material constants and mesh regularity, 129 and not on the plate thickness t. 130

Here we can only outline the main steps of the proof; full details can be found 131 in [6]. The proof proceeds by showing that Assumption 1 holds for the MITC plate 132 bending problem (4) and by establishing the respective upper and lower bounds for 133 the constants  $k_*, k^*$  in (6), (7). These bounds in turn will prove Theorem 2 since 134  $\kappa(P) \leq C(1+5k_*^{-1}k^*)$ , see [5, 21] for a proof. 135

**Upper bound** (6). The upper bound is established exactly as in [5, Sect. 5.2]. <sup>136</sup> **Lower bound** (7). To prove the lower bound, we note that the local spaces  $\overline{W}_i$ , <sup>137</sup> i = 1, 2, ..., N, are composed of rotation and deflection parts, which we denote by <sup>138</sup>  $\overline{W}_i = \overline{\Theta}_i \times \overline{U}_i$ . Accordingly, we denote the rotation and deflection parts of the constrained space by  $W_i = \Theta_i \times U_i$ , where the functions of  $\Theta_i$  and  $U_i$  vanish at the <sup>140</sup> subdomain corner nodes. We work with the following seminorm defined in [5]: <sup>141</sup>  $|w_i|^2_{\tau(\Gamma_i)} = \sum_{e \in \mathscr{E}_i} |w_i|^2_{\tau(e)} \quad \forall w_i = (\theta_i, u_i) \in \overline{W}_i$ , where for all edges  $e \in \mathscr{E}_i$  <sup>142</sup>

$$|\mathbf{w}_{i}|_{\tau(e)}^{2} = |\mathbf{\theta}_{i}|_{\gamma(e)}^{2} + ht^{-2} ||\Pi \ \mathbf{\theta}_{i} \cdot \mathbf{\tau} - u_{i}'||_{L^{2}(e)}^{2},$$
 143

$$|\boldsymbol{\theta}_i|_{\boldsymbol{\gamma}(e)} := \inf_{\boldsymbol{\psi} \in [H^1(\Omega_i)]^2, \boldsymbol{\psi}|_e = \boldsymbol{\theta}_i|_e} ||\boldsymbol{\varepsilon}(\boldsymbol{\psi})||_{L^2(\Omega_i)},$$
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 $\tau$  is the tangent unit vector at the boundary and the apex indicates the derivative, 146 in the direction of  $\tau$ , for functions defined on the (one dimensional) boundary. We 147

#### Page 675

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now improve the lower bound proved in [5] by introducing a splitting of the plate 148 rotation variable. Consider  $\boldsymbol{w}_i = (\boldsymbol{\theta}_i, u_i) \in \boldsymbol{W}_i$  and define the splitting  $\boldsymbol{\theta}_i^{(2)} \in \boldsymbol{\Theta}_i^{(2)} := 149$  span  $\{B_l^i \boldsymbol{\tau}\}_{l \in I_i}$ , by 150

$$\int_{e} \boldsymbol{\theta}_{i}^{(2)} \cdot \boldsymbol{\tau} = \int_{e} \boldsymbol{\theta}_{i} \cdot \boldsymbol{\tau} - u_{i}' \quad \forall e \in \mathscr{E}_{i},$$
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and let  $\boldsymbol{\theta}_i^{(1)} = \boldsymbol{\theta}_i - \boldsymbol{\theta}_i^{(2)}$  so that  $\boldsymbol{\theta}_i = \boldsymbol{\theta}_i^{(1)} + \boldsymbol{\theta}_i^{(2)}$ . By construction, it holds

$$\int_{e} u'_{i} - \boldsymbol{\theta}_{i}^{(1)} \cdot \boldsymbol{\tau} = 0 \quad \forall e \in \mathscr{E}_{i}.$$

We introduce also the related splitting of  $w_i$ 

$$\mathbf{w}_i = \mathbf{w}_i^{(1)} + \mathbf{w}_i^{(2)}, \qquad \mathbf{w}_i^{(1)} = (u_i, \boldsymbol{\theta}_i^{(1)}), \qquad \mathbf{w}_i^{(2)} = (0, \boldsymbol{\theta}_i^{(2)}).$$
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An improved lower bound can be obtained by estimating the split terms in the following two lemmas; see [6] for complete proofs.

**Lemma 1.** There exists a constant C > 0 independent of h such that for all edges e 157 of all subdomains  $\Omega_i$  158

$$|\mathbf{w}_{i}|_{\tau(e)} = |(u_{i}, \mathbf{\theta}_{i})|_{\tau(e)} \ge C(|(u_{i}, \mathbf{\theta}_{i}^{(1)})|_{\tau(e)} + |(0, \mathbf{\theta}_{i}^{(2)})|_{\tau(e)}).$$
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This lemma follows from the inequality  $||(0, \boldsymbol{\theta}_i^{(2)})||_{\tau(e)} \leq C ||\boldsymbol{w}_i||_{\tau(e)}$ , that is derived 160 in [6] from the definition of  $\boldsymbol{\theta}_i^{(2)}$ , a scaling argument and an inverse inequality. A similar argument applied to the extension of  $\boldsymbol{\theta}_i^2$  by zero inside  $\Omega_i$  leads to the following 162 lemma. 163

**Lemma 2.** There exists a constant 
$$C > 0$$
 independent of h such that 164

$$s_i(\boldsymbol{w}_i^{(2)}, \boldsymbol{w}_i^{(2)}) \le C |\boldsymbol{w}_i^{(2)}|^2_{\tau(\Gamma_i)}.$$
 165

The main step in the proof of Theorem 2 is the bound of the following proposition, the obtained by considering an auxiliary rotated Stokes problem with boundary data  $\boldsymbol{\theta}_{i}^{(1)}$  and several technical estimates, see [6, Proposition 5.5].

**Proposition 1.** There exists a constant C > 0 independent of h such that

$$s_i(\boldsymbol{w}_i^{(1)}, \boldsymbol{w}_i^{(1)}) \le C \left(1 + \log^3\left(H/h\right)\right) |\boldsymbol{w}_i^{(1)}|^2_{\tau(I_i)}.$$
 170

The upper bound then follows by combining the three previous results. Indeed, first 171 recalling the splitting  $\boldsymbol{w}_i = \boldsymbol{w}_i^{(1)} + \boldsymbol{w}_i^{(2)}$  and using a triangle inequality, then applying 172 Lemma 2 and Proposition 1, finally using Lemma 1 yields 173

$$s_i(\boldsymbol{w}_i, \boldsymbol{w}_i) \le 2\left(s_i(\boldsymbol{w}_i^{(1)}, \boldsymbol{w}_i^{(1)}) + s_i(\boldsymbol{w}_i^{(2)}, \boldsymbol{w}_i^{(2)})\right)$$
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$$\leq C\Big((1+\log^{3}{(H/h)})|\boldsymbol{w}_{i}^{(1)}|_{\tau(\Gamma_{i})}^{2}+|\boldsymbol{w}_{i}^{(2)}|_{\tau(\Gamma_{i})}^{2}\Big)\leq C(1+\log^{3}{(H/h)})|\boldsymbol{w}_{i}|_{\tau(\Gamma_{i})}^{2}.$$
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Bound (7) is therefore proved with  $k_*^{-1} = C (1 + \log^3 (H/h))$ , with the constant *C* 177 depending only on the material constants and mesh regularity. 178

We remark that an extensive set of numerical tests, also including jump in the 179 coefficients, which are in complete accordance with Theorem 2, can be found in [5]. 180

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