# A Comparison of TFETI and TBETI for Numerical Solution of Engineering Problems of Contact Mechanics

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**Summary.** Since the introduction of Finite Element Tearing and Interconnecting (FETI) by 10 Farhat and Roux in 1991, the method has been recognized to be an efficient parallel technique 11 for the solution of partial differential equations. In 2003 Langer and Steinbach formulated its 12 boundary element counterpart (BETI), which reduces the problem dimension to subdomain 13 boundaries. Recently, we have applied both FETI and BETI to contact problems of mechanics. 14 In this paper we numerically compare their variants bearing the prefix Total (TFETI/TBETI) 15 on a frictionless Hertz contact problem and on a realistic problem with a given friction. 16

# 1 Introduction

One of the leading representatives of domain decomposition methods is the Finite <sup>18</sup> Element Tearing and Interconnecting (FETI) proposed by Farhat and Roux [8]. It re-<sup>19</sup> lies on a finite element discretization of a linear elliptic boundary value problem and <sup>20</sup> a nonoverlapping decomposition of the related geometric computational domain into <sup>21</sup> subdomains. Resulting local subproblems are glued by means of Lagrange multipliers. The dual coarse problem is solved for the Lagrange multipliers by the method of <sup>23</sup> conjugate gradients. Farhat et al. [9] proved that the condition number of the Schur <sup>24</sup> complement, which arises from the elimination of the interior degrees of freedom, <sup>25</sup> preconditioned by a projector orthogonal to the kernel is proportional to H/h, where <sup>26</sup> H denotes the maximal subdomain diameter and h is the finite element discretization <sup>27</sup> parameter. Moreover, [15] proved a polylogarithmic bound on the condition number of the Schur complement preconditioned by the Dirichlet preconditioner. This <sup>29</sup> result was extended by Klawonn and Widlund [10] to the case of a redundant set of <sup>30</sup> Lagrange multipliers and the correct (multiplicity or stiffness) scaling. <sup>31</sup>

As the Lagrange multipliers live on the skeleton of the decomposition, it is <sup>32</sup> very natural to employ a boundary integral representation of solutions to the local <sup>33</sup> subproblems. This is the Boundary Element Tearing and Interconnecting (BETI) <sup>34</sup> method, which was formulated and analyzed by Langer and Steinbach [13]. The <sup>35</sup>

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resulting discretized Steklov-Poincaré operators, which relate the local Cauchy data, <sup>36</sup> are proved to be spectrally equivalent to the finite element Schur complements which <sup>37</sup> eliminate interior degrees of freedom. An application of fully populated boundary <sup>38</sup> element (BE) matrices can be sparsified to a linear complexity (up to a logarithmic <sup>39</sup> factor), cf. [18]. Steinbach and Wendland [21] proposed a preconditioning of the BE <sup>40</sup> matrices by related opposite order BE operators. The latter two accelaration tech-<sup>41</sup> niques were exploited by Langer et al. [14] within the BETI method formulated in <sup>42</sup> a twofold saddle-point system. It turned to be natural to impose additional Lagrange <sup>43</sup> multipliers along the Dirichlet boundary, which was independently introduced as <sup>44</sup> Total FETI (TFETI) by Dostál et al. [6] and as All-Floating BETI by Of [16], see <sup>45</sup> also [17].

An extension of FETI and BETI methods to contact problems is a challenging <sup>47</sup> task due to the strong nonlinearity of the variational inequality under consideration. <sup>48</sup> To name a few of many research groups attacking this problem, see [1, 11, 20, 22]. <sup>49</sup> The base for our development is a theoretically supported scalable algorithm for <sup>50</sup> both coercive and semicoercive contact problems presented by Dostál et al. [7] and <sup>51</sup> in the monograph by Dostál [5]. The first scalability results using TBETI for the <sup>52</sup> scalar variational inequalities and the coercive contact problems were presented only <sup>53</sup> recently by Bouchala et al. [2, 3], respectively. We also refer to [19].

The aim of this paper is to numerically compare TFETI and TBETI for two realistic problems. In Sect. 2 we recall the algebraic formulation of the TFETI and TBETI 56 methods for contact problems. In Sect. 3 we describe different representations of the 57 Schur complement. In Sect. 4 we compare the methods for the 3-dimensional (3d) 58 Hertz contact problem without a friction and for a 3d contact problem of a ball bearing with a given friction. In Sect. 5 we conclude. 60

### **2 TFETI/TBETI Formulations**

Both TFETI and TBETI methods for contact problems of mechanics lead, after a 62 discretization, to the following problem: 63

$$\min_{u} \frac{1}{2} \langle Su, u \rangle - \langle f, u \rangle \text{ subject to } B_{\mathscr{I}} u \leq c_{\mathscr{I}} \text{ and } B_{\mathscr{C}} u = c_{\mathscr{C}},$$

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where we search for the local boundary displacement fields  $u := (u_1, ..., u_p)$  with 65 p being the number of subdomains. The Hessian  $S := \text{diag}(S_1, ..., S_p)$  consists of 66 the Schur complements which are local Neumann finite element stiffness matrices 67 eliminated to subdomain boundaries in the case of TFETI, and which are symmetric boundary element discretizations of local Steklov-Poincaré operators in the case 69 of TBETI. Note that Ker  $S_i$  is the space spanned by six linearized local rigid body 70 modes. In  $f := (f_1, ..., f_p)$  we cummulate local boundary tractions. Further,  $B_{\mathscr{E}}$  is 71 a full rank sign matrix, the first part of which interconnects teared degrees of free-72 dom with corresponding first part of  $c_{\mathscr{E}}$  to be zero, while the second parts of  $B_{\mathscr{E}}$  73 and  $c_{\mathscr{E}}$  realize the Dirichlet boundary condition. Finally, the inequality with  $B_{\mathscr{I}}$ ,  $c_{\mathscr{I}}$  74 prescribes linearized non-penetration conditions.

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Due to expensive projections onto the linear inequality constraints, we switch to <sup>76</sup> the dual formulation with simple bound and equality constraints <sup>77</sup>

$$\min_{\lambda_{\mathscr{I}} \ge 0} \frac{1}{2} \langle BS^+ B^T \lambda, \lambda \rangle - \langle BS^+ f - c, \lambda \rangle \text{ s.t. } (B^T \lambda - f) \bot \text{Ker} S,$$
78

where we introduce Lagrange multipliers  $\lambda := (\lambda_{\mathscr{I}}, \lambda_{\mathscr{E}})$  with  $\mathscr{I}$  and  $\mathscr{E}$  referring to <sup>79</sup> the inequality and equality constraints, respectively. Further, we cover  $B_{\mathscr{I}}, B_{\mathscr{E}}$  by B <sup>80</sup> and similarly  $c := (c_{\mathscr{I}}, c_{\mathscr{E}})$ . Let  $S^+$  be a pseudoinverse of S, i.e.,  $SS^+g = g$  for any <sup>81</sup>  $g \perp \text{Ker}S$ . Let us denote by  $R := \text{diag}(R_1, \ldots, R_p)$  the column basis of KerS consisting of local rigid body modes  $R_i$  and by P the orthogonal projector from ImB onto <sup>83</sup> Ker $R^TB^T = (\text{Ker}S)^{\perp}$ . To homogenize the linear (orthogonality) constraint, assume <sup>84</sup> we are given a feasible  $\lambda_0$  and search for  $\lambda := \tilde{\lambda} + \lambda_0$ . Returning to the old notation, <sup>85</sup> we arrive at the following constrained quadratic programming problem preconditioned by the projector P and regularized by the complementary projector Q := I - P: <sup>87</sup>

$$\min_{\lambda_{\mathscr{I}} \ge -(\lambda_0)_{\mathscr{I}}} \frac{1}{2} \left\langle \left(\frac{1}{\rho} PFP + Q\right) \lambda, \lambda \right\rangle - \left\langle \frac{1}{\rho} P(BS^+ f_0 - c), \lambda \right\rangle \text{ s.t. } R^T B^T \lambda = 0, (1)$$

where  $F := BS^+B^T$  and  $f_0 := f - B^T \lambda_0$ . Finally, we scale the cost function by  $\rho \approx 88$  ||PFP||. Now from Theorem 3.2 of [9] and from the spectral equivalence of local 89 boundary element and finite element Schur complements  $S_i$ , see Lemma 3.2 of [13], 90 we have the following optimality result valid for both TFETI and TBETI. 91

**Theorem 1.** Denote  $\mathcal{H} := (1/\rho)PFP + Q$ . There exist c, C > 0 independent of h, H 92 so that

$$\lambda_{\min}(\mathscr{H}|\mathrm{Im}P) \ge c\frac{h}{H} \quad and \quad \lambda_{\max}(\mathscr{H}|\mathrm{Im}P) = \|\mathscr{H}\| \le C.$$
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We are now in the position to use the augmented Lagrangian algorithm developed by 95 Dostál [4], see also [5], for the solution of our constraint minimization problem (1). 96 We mention that this algorithm is in some sense optimal. 97

## **3 Schur Complements**

The local Schur complements  $S_i$  represent symmetric discretizations of the Steklov-Poincaré operator  $\tilde{S}_i$  mapping the Dirichlet data to the Neumann data. In particular, 100  $\tilde{S}_i(u_i) := \sigma_i(\varepsilon(\tilde{u}_i)) \cdot n_i$  in the case of elastostatics, where  $n_i$  is the outward unit normal 101 to the subdomain  $\Omega_i$ ,  $\sigma_i(\varepsilon(\tilde{u}_i))$  denotes the elastostatic stress evaluated using the 102 local linearized Hooke's law between the stress  $\sigma_i$  and the strain  $\varepsilon(\tilde{u}_i)$ , and where  $\tilde{u}_i$  103 solves the following inhomogeneous Dirichlet boundary value problem: 104

div 
$$\sigma_i(\varepsilon(\tilde{u}_i(x)) = 0$$
 in  $\Omega_i$ ,  $\tilde{u}_i(x) = u_i(x)$  on  $\partial \Omega_i$ . (2)

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In the case of TFETI we solve (2) approximately by the finite element method. <sup>106</sup> The approximation of  $\tilde{S}_i$  is then as follows: <sup>107</sup>

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$$S_i := (A_i)_{BB} - (A_i)_{BI} (A_i)_{II}^{-1} (A_i)_{IB},$$
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where  $(A_i)_{jk} := \int_{\Omega_i} \sigma_i(\varepsilon(\varphi_j^{(i)}(x))) : \varepsilon(\varphi_k^{(i)}(x)) dx$  is the Neumann finite element matrix assembled in the vector lowest order nodal basis functions  $\varphi_j^{(i)}$ , and where *B* and 110 *I* are the sets of indices of boundary and interior degrees of freedom, respectively. 111

In the case of TBETI the interior degrees of freedom are already eliminated in the continuous formulation via a boundary integral representation of  $\tilde{u}_i(x)$  while making use of the known elastostatic fundamental solution. After the lowest order Galerkin boundary element discretization, we arrive at the following relation between the approximated nodal based Dirichlet data, still denoted by  $u_i$ , and the element-based Neumann data, denoted by  $t_i \approx \sigma_i(\varepsilon(\tilde{u}_i)) \cdot n_i$ :

$$\begin{pmatrix} u_i \\ t_i \end{pmatrix} = \begin{pmatrix} (1/2)M_i - K_i & V_i \\ D_i & ((1/2)M_i + K_i)^T \end{pmatrix} \begin{pmatrix} u_i \\ t_i \end{pmatrix}$$
 118

with fully populated boundary element matrices  $V_i$ ,  $K_i$ , and  $D_i$ , which are referred 119 to as single-layer, double-layer, and hypersingular matrix, respectively, and with the 120 boundary mass matrix  $M_i$ . We then employ the following symmetric approximation 121 of the Schur complement  $\tilde{S}_i$ : 122

$$S_i := D_i + ((1/2)M_i + K_i)^T V_i^{-1} ((1/2)M_i + K_i).$$
<sup>123</sup>

#### **4** Numerical Comparison

All the presented simulations are performed using a parallel Matlab within our Mat-Sol library, see [12]. The implementations of TFETI and TBETI are consistent. The only point where they differ is assembling of FEM and BEM matrices and subsequent Cholesky factorizations. In the preprocessing phase times for the BEM matrices assembling dominate. Our simulations were run on a cluster of 48 cores with 2.5 GHz and the infiband interface, which are equipped with licences of Matlab parallel computing engine. 131

First we consider a frictionless 3–dimensional Hertz problem, as depicted in 132 Fig. 1, with the Young modulus  $2.1 \cdot 10^5$  MPa and the Poisson ratio 0.3, where the 133 ball is loaded from top by the force 5,000 N. ANSYS discretization of the two bodies is decomposed by METIS into 1,024 subdomains. The comparison of TFETI 135 and TBETI in terms of computational times and number of Hessian multiplications 136 is given in Table 1. In Fig. 2 we can see a fine correspondence of contact pressures 137 computed by TFETI and TBETI to the analytical solution. The convergence criterion 138 was the decay of the dual error to  $10^{-6}$  relatively to the initial dual residuum. 139

In the second example we solve the contact problem of ball bearing, which 140 consists of 10 bodies. We impose Dirichlet boundary condition along the outer 141 perimeter and load the opposite part of the inner diameter with the force 4,500 N as 142 depicted in Fig. 3. The Young modulus and the Poisson ratio of the balls and rings are 143

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Comparison of TFETI and TBETI on Contact Problems



Fig. 1. Geometry of the Hertz problem

	number of	number of	preprocessin	g solution	number of	t1.1
method	primal DOFs	dual DOFs	time	time	Hessian applications	t1.2
TFETI	4,088,832	926,435	21 min	1 h 49 min	593	t1.3
TBETI	1,849,344	926,435	1h 33 min	1 h 30 min	667	t1.4



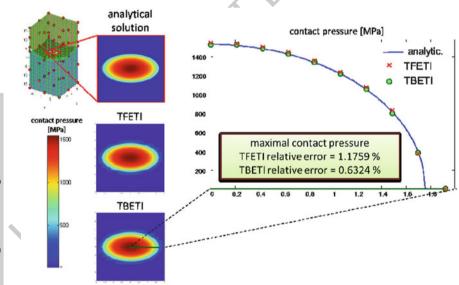


Fig. 2. Correspondence of numerical Hertz contact pressures to the analytic solution

 $2.1 \cdot 10^5$  MPa and 0.3, respectively. Those of the cage are  $2 \cdot 10^4$  MPa and 0.4, respectively. To get rid of the rigid body modes in the solution we introduce a small boundary gravitation term for each of the bodies. The discretized geometry was decomposed into 960 subdomains. Numerical comparison of TFETI and TBETI is shown in Table 2 and the resulting vertical displacement field is depicted in Fig. 4.

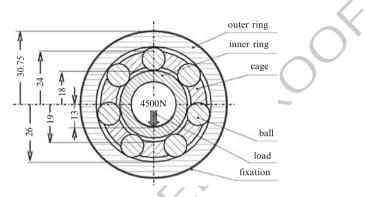


Fig. 3. Ball bearing: geometry, applied force and the Dirichlet boundary



Fig. 4. Ball bearing: vertical component of the computed displacement field

method	number of primal DOFs		preprocessing time		number of Hessian applications	t t
	1,759,782	493,018	129 s	2 h 5 min	3203	t
TBETI	1,071,759	493,018	715 s	1 h 52 min	2757	

Table 2. Numerical performance of TFETI and TBETI applied to the ball bearing problem

#### **5** Conclusion

In the paper we compared TFETI and TBETI and numerically documented their 151 performance for two engineering problems. Concerning timings and numbers of iterations it was shown that the methods are rather equal up to the assembling phase, 153 which is more expensive in TBETI case. On the other hand, the accuracy of the boundary element discretization is usually much higher than the corresponding finite element discretization. This statement is supported by the theory provided that the solution is sufficiently regular. It can be also seen from Fig. 2, where one can guess that the TFETI relative error of 1.1759% can be obtained with much less TBETI degrees of freedom.

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